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Thursday, 22 May 1947

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11 App

INTERNATIONAL MILITARY TRIBUNAL FOR THE FAR EAST Court House of the Tribunal War Ministry Building Tokyo, Japan

The Tribunal met, pursuant to adjournment, at 0930.

Appearances:

For the Tribunal, same as before with the exception of: HONORABLE JUSTICE NORTHCORFT, Member from New Zealand, not sitting.

For the Prosecution Section, same as before. For the Defense Section, same as before.

(English to Japanese and Japanese to English interpretation was made by the Language Section, IMTFE.)

Greenberg & Lefler

MARSHAL OF THE COURT: The International Military Tribunal for the Far East is now in session.

THE PRESIDENT: General Vasiliev.

GENERAL VASILIEV: Yesterday, the President expressed the wish for all these inscriptions to check with all the inscriptions on the map attached to the Khun Chun Treaty, which was later translated into English. I respectfully draw the attention of all members of the Tribunal to the fact that all inscriptions are actually translated into English.

May I continue my cross-examination?
THE PRESENT: You may.

R Y U K I C H I T A N A K A, recalled as a witness on behalf of the defense, resumed the stand and testified through Japanese interpreters as follows:

CROSS-EXAMINATION

BY GENERAL VASILIEV:

Q Mr. Witness, yesterday, when the adjournment was taken, when you were examining the map of the Lake Khasan area, you confirmed that the boundary, as shown on this map, passed along the crest of the Changkufeng hill, which is to the west of the Lake

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A No, this map was drawn up by the Soviet side at the time of the Khun Chun Treaty. This is the first time that I saw the map.

Q Which other more recent agreements are there, do you know, which would describe the boundary in this sector otherwise?

A All I know is that concerning the Khun Chun Treaty, as I understand, is that after the warfare at Changkufeng, it was agreed between both sides, the Japanese side and the Soviet side, that a status quo would be maintained.

Q Where did the boundary pass after the Changkufeng Incident, along the back of the hills or the crest of the hills?

A If my memory serves me correctly, the

A Yes.

correct?

Q Then, the contention in your affidavit,
I quote: both hills were in Manchukuoan territory,
even according to the Manchukuoan Border Agreement,
is a misunderstanding because when you were drafting your affidavit, you didn't see the map, and the
map shows otherwise, is not that so?

CROSS

the first time here in this courtroom, is that

Khasan area, and you said that you saw this map for

border line, after the Changkufeng hostilities, was at the top of the hill -- was in Manchukuoan territory, but east of this hill about ten meters. I believe that was where the boundary line was decided -- about ten meters down the hill was the boundary.

Q Just as it was shown in the Khun Chun Agreement, on the map attached to the Khun Chun Agreement, isn't that so?

A It is a little different.

Q Let us pass to other questions. When did the Japanese troops begin to be concentrated in the Khasan Lake area?

A When I arrived, I believe, I recall that it was about the 14th of July. Before that time, the 75th Regiment from Kainei and the Engineer Unit from Kainei, I believe, were the first units to arrive at this spot. That was about the 12th of July.

THE PRESIDENT: He has answered.

- Q That is two weeks prior to the time when the action -- the actual large scale operations started, is it true?
 - A Yes.
 - Q When did you receive the order to come with

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your regiment to the Khasan Lake area?

A It was the morning of the 13th of July.

Who gave you this order and who signed it?

A The commander of the 19th Division, Lieutenant General SUETAKA, Kamezo.

Q Do you know when earlier orders to other units, which arrived at the Khasan Lake area before you, were given?

A I believe it was around the 11th -- on the 11th. At that time, there was a change in command.

Q Can you tell me when this change in command was formally carried out according to directives from Tokyo and when actually the commanders -- one commander replaced another?

A If my memory is correct, I believe the change in commanders -- the order for the change of commanders was given on the 15th of July. The succeeding commander, NAKAMURA, arrived by plane -- it was after the 15th, I believe. It was on or about the 20th.

Q Naybe there was some mistake in translation. I was told in April that probably it was in July.

A July.

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According to whose order did the Japanese 1 forces start hostilities? THE PRESIDENT: Major Furness. 3 THE MONITOR: The witness replied, under 4 the orders of the division commander. 5 What is the name of the division commander? 6 The same person as I mentioned previously, 7 Lieutenant General SUETAKA, Kamezo. Did you know that the commander of the 9 Korean Army, NAKAMURA, was displeased with the 10 11 military operations? 12 Yes, I do. Q And, what do you know about it, tell me. 13 After the fighting, I was shown the tele-14 gram which was received at the divisional head-15 16

A After the fighting, I was shown the telegram which was received at the divisional head-quarters on the first of August -- after the fighting, I was shown the telegram at divisional head-quarters which was received on the first of August from the commander of the army, NAKAMURA.

Q Did you give any advice to NAKAMURA concerning military operations and what attitude did he take toward your advice?

A The first time I expressed -- I gave my advice to the commander of the division -- it was about noon on the 13th of August, I told him that

since the objective of the fighting at Changkufeng had been attained, would be not agree to the withdrawal of the troops to the banks. However, this advice was not taken into consideration.

Q Who was the first to open hostilities? I am not referring to small clashes, but to the large scale operations. Who started them, the Japanese or the Russians?

A I recall that the small scale fighting began with the first shot fired by the Russians, but an attack by one infantry regiment on Changkufeng was undertaken by the Japanese Army.

Q So, the large scale operations on a comparatively wide front and with comparatively strong forces participating were started by the Japanese, was not it so?

A Yes. However, the origin of the fighting -the first shots were fired by the Soviet side.

Q But, you did not witness the Russians starting first the shooting, and you tell this from words of other persons, are you not? You told us that yesterday.

Q What was the strength of the artillery units of the Japanese Army in the Khasan Lake area at the time of the conflict?

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A At first, two cannons. From the morning of the 31st, fourteen cannons -- it was increased gradually, and at the time hostilities ended the number totalled thirty seven cannons.

Q You speak about the active shooting cannons, do you, or do you speak about the artillery forces in general? They may not all have been in action; I don't know.

A The cannons were all on the spot, and naturally they were all used in the fighting. The reason is, on the Soviet side, the Soviet side possessed over 120 guns, and it was only natural that the Japanese used all of their guns.

Q How many sets of ammunition rounds did you, as commanding officer of the artillery regiment, have, and did you use it all?

A The number of shells possessed by the Japanese Army was very small. If more than one thousand rounds in a day were fired, the ammunition would run out. After the conclusion of the hostilities, the number of rounds shot was investigated and discovered to be about twelve thousand rounds fired. This figure did not total -- was not even as much as the Soviet forces fired in one day.

Q Were these twelve thousand shells fired

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by only your regiment or by all artillery -- Japanese artillery units?

A The entire artillery units, Japanese units, were commanded by myself. Therefore, this was the number fired by all artillery units on the Japanese side.

What was the total strength of the Japanese units participating in the battle? I mean not only artillery but infantry also.

A At that time, there were extraordinary mobilizations of troops. If my memory serves me correctly, I believe at the end of hostilities the number of infantry totalled eight thousand and the artillery about one thousand. In other words, the total number of troops was around ten thousand, or less.

Q Do you know what reports on the Khasan military operation did the division commander, SUETAKA, make and whether this report was correct?

A The first reports were reported that victory -- that the Japanese had won the victory, but actually, after the 8th of August, the fighting was not necessarily in favor of the Japanese. If the fighting had continued without reinforcements, the result would have been unfavorable for the

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1	Japanese.
2	Q Tell me, what is the distance between the
3!	hills of the Khasan Lake area and Vladivostok?
4	A I don't know unless I see a map, but I
5	believe the distance is about seventy kilometers
6	and maybe twice two times this. I am unable to
7	answer with certainty unless I see a map.
8	Q And, do you know whether it is possible
9	to see Vladivostok from these hills?
10	A At the observation post, where I was, on
11	a clear day, I was able to see Vladivostok.
12	Q Are you aware of the importance of Vladi-
13	vostok to the USSR as it is the only port connect-
14	ing the USSR with her Maritime Province and with
15	Pacific countries?
16	A I know the significance which Vladivostok
17	holds.
18)	Q Then, the Lake Khasan area had a very ser-
19	ious military importance, isn't that so?
20	THE PRESIDENT: Major Furness.
21	
22	is argumentative and calls for the conclusion of the
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24	witness.

THE PRESIDENT: It seems to me that the

cross-examination is relevant and material.

MR. FURNESS: My objection, if your Honor please, was to the fact that it calls for the conclusion of the witness.

THE FRESIDENT: The bare facts would not enable us to decide when it is a question of military strategy or military tactics. But, he is an expert. I think the question can be properly put to a man who is an expert.

The objection is overruled.

Will you answer please?

A Of course, it must be admitted that Vladivostok can be seen from Changkufeng, but I do not
believe that Changkufeng had any strategic value
from a military point of view, because there were no
railroads or roads in the rear and was not an appropriate base of operation for attack on Vladivostok.
It was valueless as a base of operation for attack
against Vladivostok.

as far as I can understand, if Vladivostok is seen from the top of this hill, it could be fired at also from the top of this hill.

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against Vladivostok.

As far as I can understand if Vladivostok is seen from the top of this hill, it could be fired at also from the top of this hill.

Kapleau & Wolf

A At that time the Japanese artillery did not possess any guns which were able to fire from the -- from Tumon River to Vladivostok. Perhaps, the Soviet forces possessed a gun which might have been able to do so, but the Japanese -- the range of the Japanese guns at that time was about -- was under 10,000 meters -- did not possess guns which had a range of over 20,000 meters. When I mentioned Japanese forces just now I meant the entire forces of Japan.

Q It is very flattering to the Russian artillery, but that has no relevance to the issues in the case.

Tell me, was this view dominating in the Lake Khasan area?

A I admit that fact.

Q In the last question, I understood you that the last -- that the first order for starting battle operations was issued on July 11. Who gave this order -- Who issued this order, what commander?

A The order to begin hostilities was issued on the 30th of July. The order on the 11th of July was not an order of fighting, but to concentrate at the border. This order to concentrate was, as I have mentioned before, The order was given to me by Divisional Commander FUYETAKA.

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CROSS REDIRECT

And what Commanding General of the Army issued the order about the concentration of the troops; KOISO or NAKAMURA? A I did not see any of these documents directly or myself at the Divisional Headquarters, but judging from common military knowledge I would say that the order for concentration was naturally given by Commanding General KOISO. THE MONITOR: Commander of the Army, KOISO. A (Continuing) I should like to add that this was not an order for attack. It was an order to concentrate. But the troops were concentrated for the purpose of fighting activities and for nothing else? That is the same in any country, but there Α are cases in case of retreat when forces concentrate. GENERAL VASILIEV: That is all, your Honor. REDIRECT EXAMINATION

BY MR. FURNESS:

Q General TANAKA, the trenches and -- What date did you see the intrenchments and barbed wire entanglements -- What date did you first see the trenches and barbed wire entanglements regarding which you have testified?

A Our patrols were all sent -- reconnaissance

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was done on many occasions, but I carried reconnaissance on several occasions. On a number of occasions from the time that I arrived at the scene on the 16th of July until the time of the first withdrawal of our troops on the 28th.

Q And did you see those trenches and entanglements on the first day that you made your reconnaissance?

A The first time there were trenches -- I saw trenches, there was only one line of barbed wire barricades. Following the 20th of July these barbed wire entanglements were increased gradually and there were three of them in the end.

- Q And were those all prior to the 29th of July?
- A Yes.
- Q Is the top of Changkufeng Hill flat or peaked?
- A It is level, horizontal.
- Q And were these trenches and barbed wire entanglements well above the flat part of the hill?
 - A It was about 20 or 30 meters below the summit.
- Q Was it below the flat part of the top of the hill?
 - A Yes.

GENERAL VARILIEV: May I say a few words, your Honor?

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negotiations.

REDIRECT

I don't like to interrupt Mr. Furness, but the fact is he asked the certain question -he has asked certain questions already which were answered in the affidavit and starts all again from the very beginning. THE PRESIDENT: To some extent they were. I have been reading the affidavit as he gave his evidence. The questions may be necessary to clear up the position. On what date were you ordered back to the town of Rasan in Korea? I received the orders on the 28th. Q And you returned? Yes, I returned. A Q With your troops? A Yes. Were other Japanese troops withdrawn at Q that time? One engineer regiment and the 75th Infantry Regiment remained, but all other units were withdrawn. Q Now, do you know whether or not after hostilities had ceased there was some negotiations for a Commission to demarcate the border? I distinctly remember that there were such

Q Do you know whether that Commission ever met and settled that border?

A I do know that such a proposal was made, but I regret that I do not remember that they were actually met or not.

THE MONITOR: Or settled or not.

Q Then, you do not know, do you, whether the border was every finally settled?

A I know definitely that there was no definite settlement made; but, at the time of the truce at Changkufeng, the foremost'lines of both sides were practically facing each other and I recall that this line was literally the border -- became the border line.

Q And after the truce were the troops of both countries withdrawn?

A Both sides withdrew very, very clearly -- smoothly. However, the Soviet forces left a part of their troops on the east slope of Changkufeng.

Q Now, you have testified about the launching of large-scale hostilities. At the time that those hostilities began, were the Russian troops on Manchukuoan territory or Russian territory?

A I believe that at the time of the first small-scale fighting as well as the large-scale

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Q Do you know whether that Commission ever met and settled that border?

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A Both sides withdrew very, very clearly -smoothly. However, the Soviet forces left a part of their troops on the east slope of Changkufeng.

about the Q Now, you have testified launching of large-scale hostilities. At the time that those hostilities began, were the Russian troops on Manchukuoan territory or Russian territory?

I believe that at the time of the first small-scale fighting as well as the large-scale

hostilities that took place, the main strength of the Soviet forces were in Manchukuoan territory.

Q And by "Manchukuoan territory" do you mean Manchukuoan territory under the Russian contention as to the border line?

which was contended by the Manchukuoan side, then all of the Soviet forces were in Manchukuoan territory. However, during the night attack which took place on the 31st of July, the fighting took place at the line of the trenches which I mentioned before. Therefore, it is clear that even though we followed the Soviet contention as to the border, the fighting took place in Manchukuoan territory.

THE MONITOR: The date should be corrected to read July 30th, the night of July 30th.

A (Continuing) But at this time, if we judge by the border line which the Soviet side contended, then the Soviet tanks and cannons were in Soviet territory -- tanks or artillery were in Soviet territory.

Q But their ground troops were not; is that correct?

A The first-line troops were not, of course.

Now, you testified that there was a concentration of between eight and ten thousand Japanese troops at the end of the hostilities. Did you observe a concentration during the same period of russian troops?

- A Yes, I did see Russian troops concentrated.
- n "hat did you see?

A Judging by eyesight, the forces were very large. According to intelligence reports later, the Soviet forces had three infantry divisions in the rear. At the end of hostilities, according to intelligence reports, the Soviet side had three infantry divisions, one cavalry division, and one brigade of artillery.

THE PRESIDENT: General Vasiliev.

GENERAL VASILIEV: Your Honor, as far as I can understand, the redirect examination exceeds all the limits. New questions are asked which could have been included in the affidavit instead of asking them here.

THE PRESIDENT: I think this arises out of your cross-examination, which showed, or tended to show that KOISO had directed a concentration. This question is directed to show that the Russians also directed a concentration. I can't say that it is not

re-examination. It may be doubtful.

The objection is overruled.

BY MR. FURNESS (Continued):

Now, you testified on cross-examination that Changkufeng dominated the Lake Khasan area?

A I did.

Did it dominate the territory to the west -- in other words, the territory of Korea and Manchukuo?

A The important border railway, the Korean Railway, is directly beneath the hill. Also, the hill dominated the Manchukuoanand Korean territory.

And is it, in your opinion, an important strategic point for the U.S.S.R. if it desired to attack Korean or Manchukuoan territory?

A I believe it is a very important military strategic point, because it would enable the immediate

A I believe it is a very important military strategic point, because it would enable the immediate cutting of the railway. As a matter of fact, during the actual fighting the station of Kogi on the railway received a concentrated barrage of artillery fire by the Soviets and blown to pieces, thus disrupting communications.

General, you have testified -THE MONITOR: Just a moment.

The destroying of the station disrupted transportation of supplies and communications for one

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day.
O General, you have testified as to the divi-
sional strength of the concentration of Russian
troops. Could you tell us the number of men con-
centrated in the Russian forces, in your estimate?
A Not being a Russian officer, I am not able
to answer accurately, but judging from military common
sense, approximately 30,000.
O Is that based on intelligence reports which
had been received by the Japanese?
A Since then we did have intelligence reports,
but I believe this figure was arrived at as a result
of questioning of prisoners, some ten odd prisoners
who were captured.
According to the information that you received,
had the Russian troops occupied the height of Changku-
feng on the 11th of July?
A Yes, they did occupy it.
MR. FURNESS: No further questions.
THE PRESIDENT: Well, I suppose the
witness can be released on the usual terms. He is
released accordingly.

(Whereupon, the witness was excused.)

1	MR. FURNESS: "e call as our next witness
2	ISONO, Yuzo. His affidavit is defense document 1594.
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4	YUZO ISONO, called as a witness on behalf
5	of the defense, being first sworn, testified
6	through Japanese interpreters as follows:
7	MR. FURNESS: May the witness be shown de-
8	fense document 1594?
9	('Thereupon, a document was handed
10	to the witness.)
11	DIRECT EXAMINATION
12	BY MR. FURNESS:
13	O ISONO, is that your affidavit?
14	A Yes.
15	O Did you sign and swear to it?
16	A Yes, I did.
17	Are the facts stated therein true and cor-
18	rect?
19	A Yes.
20	MR. FURNESS: I offer in evidence defense
21	document 1594.
22	THE PRESIDENT: Admitted on the usual terms.
23	CLERK OF THE COURT: Defense document 1594
25	will receive exhibit No. 2630.
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("hereupon, the document above referred 1 to was marked defense exhibit 2630 and received 2 in evidence.) 3 MR. FURNESS: I will read exhibit No. 2630. "I, ISONO, Yuzo, after having been duly 5 sworn according to the Japanese formula, make the 6 following statement of my own free will. 7 "1. I am 44 years old and reside in Tokyo, 8 Shinagawa-ku, Nishi-Nakarobu, 5 Chome, 1213 banchi. 9 "2. I am, at present, director of the 10 Repatriation Division, Central Liaison Office of the 11 Japanese Government. 12 "3. I was, from June 1945 through July 13 1946, chief of the Archive Section of the Secretariat 14 of the Japanese Foreign Ministry, and as such had 15 16 custody of all documents and archives of the Japanese 17 Foreign Office. 181 "4. The buildings housing the Foreign 19 Office were partly destroyed by fire on 7 January 20 1942 and many documents were destroyed as a result 21 of said fire. 22 "5. The remaining buildings housing the

Poreign Office were almost entirely reduced to ashes

as a result of the air raid by Allied Planes on the

night of 23-24 May 1945 and all but one of the vaults

containing documents and archives were destroyed by the fires. Among the offices which were totally destroyed were offices occupied by the 3rd Section of the Folitical Affairs Bureau. This section, formerly called the 1st Section of the Euro-Asiatic Bureau, had charge of all matters relating to Soviet Russia, including the Chang-ku-fang and Nonmanhan or Khalkin-Gol Border Incidents. It was the practice of that section, as well as some others, to retain all originals, copies and drafts, of telegrams, letters, messages and other communications, memoranda of conversations and opinions, and manuscripts relating to matters under its jurisdiction. The fires destroyed all such papers.

DIRECT

"6. During the last week of June in contemplation of a landing of Allied Forces and moving the capital away from Tokyo, it was decided to burn papers of comparatively recent dates which in our opinion at that time more of a confidential character. All originals, copies and drafts of top secret, secret and coded telegrams, letters, messages and other communications, memoranda of conversations and opinions, and manuscripts were burned under my supervision during the last week of June and the first week of July which had not been destroyed by the

previous fires. I do not remember burning documents relating to the Changkufeng and Khalkin-Ghol incidents at that time. I believe that there were none left to burn. If any were there, however, they were in the class which it was decided to burn, and I am sure they were burned.

"Signed: ISONO, Yozo."

That completes our direct examination, your Honor.

THE PRESIDENT: "e will take up the cross-examination after the recess.

"e will recess for fifteen minutes.

("hereupon, at 1045, a recess was taken until 1100, after which the proceedings were resumed as follows:)

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Morse & Duda

	MARSHAL OF THE COURT: The International
Military	Tribunal for the Far Fast is now resumed
	THE PRESIDENT: Colonel Ivanov.
	COLONEL IVANOV: I am Colonel Ivanov.

CROSS-EXAMINATION

BY COLONEL IVANOV:

Q Mr. Vitness, you served with the Archives Section of the secretariat of the Foreign Ministery only from June, 1945, isn't it so?

A Yes.

Q Ind previously you didn't work there?

A No.

Q Of the facts that during the fire in January, 1942, a number of documents of the archives of the Foreign Ministry were allegedly burned you know only from hearsay, don't you?

A Yes.

Q And you don't know what particular documents were burned in May, 1945, isn't it so -- 1942?

A Upon assuming the post of chief of the Archives Section is is only natural that the new chief should know what had taken place before with regard to documents. It is natural, therefore, that I heard various reports from my predecessor.

Q Do you know what particular documents were

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burned in 1942, don't you?

A Considerable numbers of documents were destroyed by fire and there were records at that time as to what sort of documents were destroyed, burned.

Q Of the fact that the considerable number of the documents of the archives of the Foreign Ministry were destroyed as the result of fires in May, 1945, that is before you assumed your duties as director of the Archives Section, you learned also from hearsay, didn't you?

A The raids on the 23rd, 24th of May of 1945 -in these raids the Foreign Office was almost totally
destroyed by fire. I actually saw the places that were
burned and destroyed and because of the fact I assumed
the post of chief of archives immediately after this
fire my knowledge of this is more than merely hearing
such facts from rumors.

Q And you apparently do not know what particular documents were burned in May, 1945, is it not so?

A A great number of documents were destroyed by the fire and, as a matter of fact, it was difficult to ascertain just what documents were destroyed at that time.

Q But earlier you told me that there was a list of documents which were burned in January 1945 -- 1942.

1 Cannot you produce this list before the Tribunal? 2 I do not believe that such records exist 3 now. 4 Do you know whether some of the documents Q 5 of the archives of the Foreign Ministry were evacuated 6 to some safe place and were kept there after the 7 surrender of Japan? 8 Yes, I do. 9 So some part of the documents was evacuated. 10 What part of the documents it was and where they were 11 evacuated to? 12 I knew -- generally speaking I knew what 13 documents were removed but I don't know just what 14 documents were placed in such and such a place. 15 THE MONITOR: At the present moment I cannot 16 recall just what documents existed in what place. 17 Do you know who of the officials of the 18 Foreign Ministry was at the head of the branch of the 19 Archives Section which was evacuated from Tokyo? A That do you mean by branch chief? 21 I mean that part of the documents of the 22 Archives Section of the Foreign Ministry which was 23 evacuated from Tokyo. Were these documents evacuated 24

to one of the agencies of the Foreign Ministry or was

there created some special place for keeping these

documents after the evacuation?

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A I understand what you mean. The Archives Section of the Foreign Office removed documents which were not necessary, documents to which reference was not necessary. These documents were removed to Saitama Prefecture and distributed in about four places. The place of location was in warehouses of the various farmers. These were locked. This work was done by the Archives Section and no personnel were stationed there but the warehouse itself was locked and could not be opened unless by a member of the Archives Section.

THE PRESIDENT: How do you know the documents removed in that way did not include those relating to the three Russian border incidents?

THE WITNESS: It is just that I believe that they were not included in these documents which were sent out of Tokyo.

THE PRESIDENT: Would those border incident documents be required for reference from time to time?

THE WITNESS: I believe that these documents were not very necessary during the war. However, at the time these documents were removed, at that time I was not the chief of the Archives Section and I do not know by what standards these documents were selected for removal and placed in special places.

THE PRESIDENT: On what do you base your belief that these border incident documents were destroyed and not removed?

THE WITNESS: Documents of such nature were usually as a rule kept by the section which was actually in charge of such matters, in this case the Thira Section of the Political Affairs Bureau; and during the fire of the 23d of May, the buildings which housed this particular bureau were completely destroyed by fire and, therefore, I believe that the documents also were destroyed.

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THE PRESIDENT: When were the documents removed as you state?

THE WITNESS: I believe it was in April of 1944.

THE PRESIDENT: Well, that was before May -no, that was after the destruction of the building of -I forget what section you describe it as?

THE WITNESS: No, it was before the Foreign Office was burned, destroyed by fire.

THE PRESIDENT: Well, then, why didn't they remove these border incident documents if they were not required for use from time to time?

documents relating to this matter were left in charge of the section which was directly concerned with such affairs, and I do not think that these documents had been delivered or handed over to the Archives Section.

And if there were any which were passed over to the Archives Section, this was only a very small number.

THE PRESIDENT: Well, I don't understand what you base your belief on. Documents not required for immediate reference were removed in 1944. Would not that extend to the documents kept by that particular section which was responsible for the border incident papers?

THE VITNESS: The documents which were removed were those which were in the custody of the Archives Section. With regard to other documents which were kept by the various responsible sections, these were not included in the plan for removal.

THE PRESIDENT: Yes, Colonel.
BY COLONEL IVANOV (Continued):

Q So, Mr. Witness, you do not deny that among the documents which were evacuated from Tokyo there might have been documents relating to the Changku Feng and Nomongan area incidents?

A They may have been included.

Q Do you know anything about the fate of the documents which were evacuated from Tokyo?

A These cocuments which were removed have now, at the present time, all been brought back to Tokyo.

Of course, as was pointed out in my affidavit, at the time the remaining documents were removed, were disposed of, personnel were dispatched to the place to dispose of these--

THE MONITOR: Some of those persons were dispatched to dispose of some of the documents which have been previously removed.

Q What part of these documents did you see, and where are these documents kept now?

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A Do you refer to the documents which were removed from Tokyo?

Q I spenk about the office which received those archives which were returned to Tokyo.

A The documents which were removed, the removal was undertaken by the Archives Section of the Foreign Office. Therefore, when these documents were brought back they were brought back by the Archives Section of the Foreign Office and they are at the present in the custody of the Archives Section. But I believe that it is difficult to say definitely just what of these documents and been removed to a certain place at the time of removal.

Greenberg & Whalen

Q You told me you saw these documents. Were not among these documents the documents relating to the correspondence concerned in the Russo-Japanese relations?

A In view of the fact that there were a very large number of documents, although I glanced through them, I cannot say just what the context of each was -- I do not remember just what the contents of each are.

THE PRESIDENT: How do you know the Border Incident documents were not included?

THE WITNESS: I do not. Although I saw all of the documents, it does not mean that I remember just what each of these documents were. I am not definitely able to do so.

As I have said before, it was the rule that documents of a particular nature -- of this nature were held in the custody of the section or bureau concerned with such matter, and it is just that I believe that these were not included in the ones which were removed.

Q Mr. Witness, will you tell the Tribunal why don't you deal in your affidavit with the question of evacuation of documents of the Foreign Office from Tokyo? Did you hide from defense this information, or the defense didn't want to include this information into your affidavit?

THE PRESIDENT: Major Furness.

MR. FURNESS: I object to these questions, if your Honor please. The affidavit is on record and speaks for itself.

THE PRESIDENT: Well, he can't be asked whether he hid anything from you unless he admits there is something which leads to that.

MR. FURNESS: It is like asking him "Why didn't you answer a question which you weren't asked."

to the questions of the cross-examination the witness admits that the documents in question -- admitted the possibility that the documents in question were among the documents and maybe are among them now which were evacuated from Tokyo.

THE RUSSIAN MONITOR: The documents which are mentioned in your affidavit.

COLONEL IVANOV: (Continuing) If he came to know about this, it is natural to ask why didn't the witness, who was at the head of the Archives Section of the Foreign Office, didn't clarify this question in his affidavit.

THE PRESIDENT: As far as I can judge he has stuck to his story as given in the examination in chief. He hasn't said anything inconsistent with that I

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THE PRESIDENT: Why can't he be asked whether he hid anything from you unless he admits there is something which leads to that?

MR. FURNESS: It is like asking him "Why didn't you answer a question which you weren't asked."

COLONEL IVANOV: Your Honor, in his answers to the questions of the cross-examination the witness admits that the documents in question -- admitted the possibility that the documents in question were among the documents and maybe are among them now which were evacuated from Tokyo.

THE RUSSIAN MONITOR: The documents which are mentioned in your affidavit.

COLONEL IVANOV: (Continuing) If he came to know about this, it is natural to ask why didn't the witness, who was at the head of the Archives Section of the Foreign Office, didn't clarify this question in his affidavit.

THE PRESIDENT: As far as I can judge he has stuck to his story as given in the examination in chief. He hasn't said anything inconsistent with that I

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appreciate. He says these Border Incident documents were kept at a certain place which was not subject to that provision for the removal of documents, and that 3 the whole of the documents there were subsequently destroyed by fire. He hasn't departed from that. If

he did I have missed the point.

I would like the witness to answer the question why didn't he in his affidavit mention the archives of the Foreign Office which remain up to the present time?

MR. FURNESS: My objection, your Honor, stands. But again it is like asking him "Why didn't you answer a question which you weren't asked."

THE PRESIDENT: Well, let him answer that question. That is not insulting.

Answer the question.

It is not only that I didn't -- that I forgot to mention it, but it is that these documents which were removed to safety were later returned to Tokyo and are all at present in custody of the Archives Section of the Foreign Office. Therefore, I didn't think it was necessary. I rather assumed that this fact was included.

THE MONITOR: Therefore, I did not believe it would be necessary to refer to the temporary removal of the part of the documents that was evacuated.

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Q Mr. Witness, will you tell, wasn't it the Foreign Minister SHIGEMITSU who took the decision at the end of June 1945, of which you write in your affidavit, to burn part of the documents of the Ministry of Foreign Affairs?

A At that time Japan, we were under the condition where landing operations by the Allied Forces was expected at any minute. Therefore, in view of this situation, although in normal times there are regulations regarding the handling of Foreign Office documents, because of this unprecedented crisis which was faced, we did not ask for instructions of the higher-ups but held two meetings, participated in by chiefs of secretariat sections or bureau chiefs, to discuss this matter.

Q Was Minister for Foreign Affairs SHIGEMITSU at Tokyo at that time -- in Tokyo?

A At that time the Foreign Minister was not Mr. SHIGEMITSU.

Q Who was Foreign Minister at the end of June-1945 and at the beginning of July 1945?

A I believe it was the former Foreign Minister TOGO.

Q Was it possible for the chiefs of the bureaus of the Foreign Ministry to reach separate decisions of

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the burning of documents when the Foreign Minister himself was in Tokyo?

A Probably there are regulations which would -there may have been a change in the regulations but-THE MONITOR: That may have been abnormal
procedure.

A (Continuing) It may have been an abnormal procedure, but the situation at that time was so critical an unprecedented situation was confronted. Therefore, an exception was made and such a meeting was held at which it was decided that if possible some of the documents be removed to safety. But due to transportation difficulties it was decided that a part of these documents should be disposed of.

Q Answer my question directly: Did the Foreign Minister knew at the end of June 1945, and did he take the decision to burn the documents or to destroy the documents, did he take the decision himself?

A No.

Q Mr. Witness, will you tell the Tribunal, wasn't it by order of the Foreign Minister, Mr. SHIGEMITSU, that you burned the remaining documents immediately after the surrender of Japan on August 14-15, 1945?

A No, that is not so.

Q According to whose order were these remaining

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documents after that date.

documents of the Foreign Ministry burned in those days?

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MR. FURNESS: If your Honor please, I object to the question as outside the scope of the direct

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examination.

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COLONEL IVANOV: Your Honor, may I respectfully draw your attention to the last words of the witness which are in his affidavit?

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THE PRESIDENT: Well, we must hear Mr. Furness!

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objection, Colonel. You can reply to it. MR. FURNESS: The witness has testified with

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regard to the burning of documents through June and July 1945. There is no evidence of any burning of

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THE PRESIDENT: Now we will hear you, Colonel.

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COLONEL IVANOV: Your Honor, at the end of the

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affidavit, after the fact of the burning of documents at the end of June and the beginning of July 1945 was

18 19 dealt with, that is paragraph 6 of the affidavit, the following words are said: "If any were there, however,

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they were in the class which it was decided to burn and I am sure they were burned."

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That gives the ground to suppose that these documents were burned one and a half or two months later during the capitulation of Japan. Therefore,

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my question doesn't exceed the limits -- doesn't go

documents of the Foreign Ministry burned in those days?

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MR. FURNESS: If your Honor please, I object to the question as outside the scope of the direct examination.

COLONEL IVANOV: Your Honor, may I respectfully draw your attention to the last words of the witness which are in his affidavit?

THE PRESIDENT: Well, we must hear Mr. Furness! objection, Colonel. You can reply to it.

MR. FURNESS: The witness has testified with regard to the burning of documents through June and July 1945. There is no evidence of any burning of documents after that date.

THE PRESIDENT: Now we will hear you, Colonel. COLONEL IVANOV: Your Honor, at the end of the affidavit, after the fact of the burning of documents at the end of June and the beginning of July 1945 was dealt with, that is paragraph 6 of the affidavit, the following words are said: "If any were there, however, they were in the class which it was decided to burn and I am sure they were burned."

That gives the ground to suppose that these documents were burned one and a half or two months later during the capitulation of Japan. Therefore, my question doesn't exceed the limits -- doesn't go

beyond the limits of the affidavit.

Mr. FURNESS: If your Honor please, it is perfectly clear from the context of the affidavit when he says "I am sure they were burned" he is referring to the burning during the last week of June and first week of July.

THE PRESIDENT: We don't think that refers to the burning after the surrender.

The objection is upheld.

COLONEL IVANOV: I would like to ask one question more.

A If as you say all Foreign Office -- Mr. Witness, tell me if all those hundreds of documents belonging to Foreign Office which the prosecution and the defense received and are receiving now, are all these documents taken from the archives which were returned to Tokyo, or are there separate archives or sources from which these documents are taken and which we can use?

A Of course, the documents which were brought back from the place -- in addition to these documents which were brought back to Tokyo from where they had been removed for safety there were those which remained in the archives of the Foreign Office. But at the time they were burned the work was done in such a rush, and was done so haphazardly, that there were some documents

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burned which should not have been burned and others which were not burned which should have been burned. 2 Therefore, documents which we desired to burn were not 3 burned in some cases. Recalling now the work was done in such a rush without reviewing each of the documents burned, and the fact that all the documents were burned, I consider it a very regretful fact at the present time. COLONEL IVANOV: That is all, your Honor. 9 MR. FURNESS: Your Honor --10 THE PRESIDENT: We have a few minutes, Major. 11 Mr. FURNESS: There is no redirect examination, 12 your Honor. 13 I ask that the witness be excused on the usual 14 terms. 15 THE PRESIDENT: He is excused accordingly. 16 (Whereupon, the witness was excused.) 17 THE PRESIDENT: We will adjourn until half 18 past one.) 19 (Whereupon, at 1200, a recess was taken.) 20 21 22 23 24

AFTERNOON SISSION M r 1 The Tribunal met, pursuant to recess, at 2 1330, Hon. Erima Havey Northcroft, Member from the å 3 Dominion of New Zealand and Hon. R. B. Pal, Member from G r the Government of India not sitting. 5 MARSHAL OF THE COURT: The International 6 b Military Tribunal for the Far East is now resumed. 7 r THE PRESIDENT: Major Furness. 8 MR. FURN SS: We call as our next witness 9 HAYASHI, Kaoru. The documents involved are document 10 11 1598 and 1598-B. 12 K A O R U H A Y A S H I, called as a witness on 13 behalf of the defense, being first duly sworn, 14 testified through Japanese interpreters as 15 16 follows: 17 DIRECT IXAMINATION 18 BY MR. FURNISS: 19 What is your name? 20 HAYASHI, Kaoru. MR. FURNISS: May the witness be shown defense 21 22 document 1598. 23 (Whereupon, the document was handed to 24 the witness.) 25

	Q Is that your affidevit?
1	A Yes.
2	Q Are the facts therein stated true and correct
3	A Yes.
4	MR. FURNESS: I offer in evidence defense docu-
5	ment 1598.
6	THE PRESIDENT: /dmitted on the usual terms.
7	CLIRK OF THE COURT: Defense document 1598
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9	will receive exhibit No. 2631.
10	(Whereupon, the document above referred
11	to was marked defense exhibit No. 2631 and
12	received in evidence.)
13	MR. FURNESS: I read exhibit 2631.
14	THE PRESIDENT: Omitting the formal parts.
15	MR. FURNESS: (Reading)
16	"l. I am 39 years old and reside in Tokyo,
17	Oota-ku, Denechofu, 2-Chome, 808 Banchi, Tokyo.
13	"2. I have been since lugust 1946 Chief of the
19	Archives Section of the Secretariat of the Japanese
20	Foreign Ministry, and as such have custody of documents
21.	and archives of the Foreign Office.
22	"3. I have made a thorough search of all the
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24	erchives and files of the Foreign Office for documents
25	relating to the Chang-ku-fong Border Incident including
	originals, copies and drafts of telegrams, letters,

messages and other communications, ominions and manuscripts. "4. I have been unable to find any of the documents referred to in paragraph 3 of this affidavit. In official record of the Foreign Office shows that all files relating to this incident were destroyed by fire, and I believe that this is so. "5. The records of the Foreign Office do no 8 indicate that any of such documents were delivered to the International Prosecution Section, the 'ashington Document Center nor to any other persons." Signed 20 May 1947. 12 May the witness now be shown defense document 13 1598-B. ("hereupon, the document was handed 15 to the witness.) 16 Is that your affidavit? 17 Yes. A 13 Did you sign and swear to it? /re the facts 19 20 stated therein true and correct? THE MONITOR: Mr. Furness, the witness did 21 not enswer to your first question, sir. 23 THE WITNESS: Yes. Q Did you sign and swear to it? 25 A Yes.

Are the facts stated therein true and correct? 1 A Yes. 2 MR. FURNESS: I offer in evidence defense 3 document No. 1598-B. THE PRESIDENT: Admitted on the usual terms. CLERK OF THE COURT: Defense document 1598-P 6 will receive exhibit No. 2632. 7 (Whereupon, the document above 8 referred to was marked defense exhibit 9 No. 2632 and received in evidence.) 10 MR. FURNESS: I will read exhibit 2632, omitting 11 the formal parts: 12 "1. I am, at present, in the office of the 13 Chief of the Archives Section, Jamenese Foreign Ministry, 14 as such having in my custody and as my responsibility 15 all the documents composing the files of the Japanese 16 Foreign Ministry. 17 "2. The telegrams exchanged between the Japanese 18 Embassy at Moscow and the Jananese Foreign Ministry, 19 during the period from 1939 to 1941, on the subjects 20 21 of a proposed non-aggression or neutrality pact, between 22 the two countries, and of the Nomonhan incident and the 23 demerkation of the boundary lines between the Mongolian 24 People's Republic and Manchoukuo in Nomonhan districts, 25

having probably been lost in the fire during the war,

can not be found in the files preserved in the Japanese Foreign Ministry. "3. The Japanese Foreign Ministry did not receive on August 8, 1945, or thereafter, the telegram, despatched on the said date by Japanese Ambassador SATO at Moscow and addressed to the Japanese Foreign Minster, concerning the notification of the Soviet Government to commence hostilities against Japan." Mr. HAYASHI, with regard to the word "documents", 9 did you intend by that word to include printed matter? No, it doesn't include printed matter. 11 MR. FURNESS: You may cross-examine. 12 13 THE PRISIDENT: Mr. Tavenner. 14 15 16 17 13 19 20

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that position?

CROSS-EXAMINATION 1 BY MR. TAVENNER: 2 Q Mr. HAYASHI, prior to your taking the 3 position of Chief of the Archives Section of the 4 Foreign Ministry, did you hold any other position 5 in the Archives Section? 6 A No. 7 Piror to that time did you hold any posi-8 tion in the Foreign Ministry? 9 A Yes. 10 Q I did not get the answer. 11 12 A Yes. 13 THE PRESIDENT: "Yes." 14 Q What was the position? A Prior to my becoming Chief of the Archives 15 16 Section, I was Chief of the Data Section of the 17 General Affairs Bureau. 18 Q What were your duties as Chief of that 19 section? 20 A My duties were to collect data concerning 21 the international situation and to compile data to that effect.

Q What was the period during which you held

A From the 1st of February, 1946 until the

1	time I became Chief of the Archives Section.
2	Q Before February 1, 1946, you held no posi-
3	tion in the Foreign Ministry?
4	A Before that I was Chief of the 3rd Section
5	of the Treaty Bureau.
6	Q Over what period of time did you hold that
7	position?
8	A From September, 1945 until I became Chief
9	of the Data Section.
10	Q Prior to September 1945 did you hold any
11	position in the Foreign Ministry?
12	A I was a secretary in the 3rd Section of the
13	Political Affairs Bureau.
14	THE PRESIDENT: Major Furness.
15	MR. FURNESS: If the Tribunal please, I
16	object to this line of questioning as far outside
17	the scope of the direct examination.
19	THE PRESIDENT: I think it is within
20	the scope of the examination. Objection overruled.
21	Q Now, you have testified that there is an
22	order in existence by the Foreign Office which shows
23	the destruction of certain documents by fire. What
24	was the date of that order?

MR. FURNESS: If the Court please, I object

to that question. His testimony is that there is an

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official record of the Foreign Ministry in which it 2 is stated that certain documents had been destroyed 3 by fire. What is the date of that record? A I don't know the definite date. But docu-5 ments existing now in the Foreign Office state that 6 it was so. Q Do you have a copy of that document with you? I do have it at the office -- the Foreign Office, but I do not have it here. 10 Q Who prepared that document? 11 A It was myself and a member of my section --Archives Section. 13 I would like for you to produce a copy of 14 that record to the prosecution for its examination. 15 THE PRESIDENT: You can insist on proof of 16 the contents that way. 17 MR. TAVENNER: I did not understand your 18 Honor's remark. 19 THE PRESIDENT: I was expecting you to ob-20 ject to that evidence of the contents of a document 21 which is the source of his information. That document 22

should be produced if you insist -- the original.

when the affidavit was offered was that we desired

the production of that document for the purpose of

MR. TAVENNER: The reason I did not object

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1	examination of it. And I would like to reserve the					
2	right to further cross-examine this witness when that					
3	document is produced.					
4	Q Now, when did you propere this document?					
5	A In Harch.					
6	Q Of what year?					
7	A March of this year.					
8	Q You prepared a statement in Larch of 1947					
9	of documents destroyed during the period of the war?					
10	A Yes. I know that previous to this there was					
11	a document of a similar nature.					
12	Q Who prepared that document?					
13	A I do not know.					
14	Q Did you base your document upon the one that					
15	some unknown person had prepared?					
16	A No. When I said I did not know, I said I					
17	did not know whether it was my immediate predecessor					
18	or the one before that.					
19	Q So that the document that now is in exist-					
20	ence in the Foreign Office is one prepared by you					
21	after your consideration of one propared by a person					
22	who preceded you in office, is that correct?					
23	A Yes. Generally speaking, yes.					

IR. TAVENDER: Then I withdraw my request

for the document hich he says he prepared, in the

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Q Please answer the question.

Objection overruled.

A I do not know where the Foreign Office

Q Then you were not relying on your own personal knowledge in the preparation of this record which you say is now in existence.

light of the witness' answer.

A In March, when I wrote this record -- this document, I investigated the matter with a member of my same section, the Archives Section, and again prior to writing my affidavit, I also investigated this matter, and it is based on these facts.

Q Do you know where the documents were removed to that were removed from the archives of the Foreign Ministry?

PR. FURNESS: If your Honor please, I object to that as outside the scope of the direct examination. This witness has not testified to that at all.

may be permitted to say so, I think it has a direct bearing upon the trustworthiness of the witness' testimony inasmuch as the witness has testified that these records were destroyed.

THE PRESIDENT: It is directed to credit.

documents were removed or when these documents were returned again. But, when I became Chief of the 3 Archives Section, I learned from my predecessor that all documents which were removed had been brought 5 back. Did you also learn that some of the documents had been placed in caves and other places where they could be concealed? I would like to change that question. Instead of referring to caves, I meant air raid shelters. A I did not hear where these documents were removed. Q You have no personal knowledge of your own that the documents -- all the documents that had been concealed by various methods were, in fact, returned, do you? THE PRESIDENT: Didn't somebody keep a record of the whereabouts of these documents which

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MR. TAVENNER: I will ask that question.

THE "ITMESS: I did not hear from my predecessor where these documents had been removed to.

were sent to czves or elsewhere?

Now, you state that --THE PRESIDENT: But who is responsible for

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recovering them? Did your predecessor remain responsible or did the responsibility fall on you?

THE WITNESS: The question of bringing

back these documents to the Foreign Office -- the responsibility rested with my predecessor. I believe that is so.

Q What was his name?

A I believe it was Section Chief ISONO.

THE PRESIDENT: How did the responsibility come to be divided in that way?

A Puring the time that I held the office there were no documents brought back to the Foreign Office -- as a Section Chief. I understand that the documents were brought back from the place where they had been removed to during the time Mr. ISONO was Chief of the Section.

Q Now, you referred to a record showing the documents or describing the documents that had been destroyed by fire. Was there also a record showing the documents which had been concealed in air raid shelters and warehouses over Japan?

A From what I recall here, only the -- the records only mention the fact of those documents which were destroyed by fire.

Q How was it possible, then, to determine

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recovering them? Did your producessor remain responsible or did the responsibility fall on you? THE PRESIDENT: The question of bringing 3 back these documents to the Foreign Office -- the 4 responsibility restod with my prodecessor. I believe that is so. What was his name? A I believe it was Section Chief ISONO.

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A From what I recall here, only the -- the records only mention the fact of those documents which were destroyed by fire.

How was it possible, then, to determine

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whether all the records had been returned that had 2 been sent out to the warehouses and the other places unless a memorandum had been kept?

A Concerning this point, it is just that I had heard from my preducessor.

Q Had you examined the records of the archives to discover whether such a list exists, that is, a list of documents that had been concealed?

A No.

Will you make such an exemination? Ű

A I have not heard that any documents were hidden, and I was told that all those documents which had been removed had all been brought back. And I do not believe that there is any necessity to do so.

I will ask you again, will you make a search of the records of your archives for the purpose of finding, if they can be found, lists of those documents?

A What do you mean by "those documents"?

The documents that were removed to warehouses or any place else of concealment.

A I have heard that all documents which had been removed, had been brought back. I do not remember that any records had been kept of those documents which had been removed.

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IR. TAVENMER: I will apply in Chambers for an order from the Tribunal directing that such an investigation be made.

THE PRESIDENT: "here did your predecessor keep the list of documents that were removed by him?

THE WITNESS: I have not heard that my predecessor had kept any records of those documents which had been removed. And, moreover, I heard only from my predecessor verbally -- he told me orally that all those documents which had been removed had been brought back.

Q Then you prepared the record in Earch of 1947 showing the documents destroyed by fire, during the period of the war, at whose direction was this done?

A I, myself, instructed a member of the Archives Section to make such a record.

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- Paven't you a register showing the documents received by the office and what became of them?
 - A Yes, there are records. Records are kept.
- Are those records available, and if so, where?
- A "hat is the approximate date or time of the document that has been referred to?
- I am not referring to any one in particular.

 I suppose the Japanese Foreign Office when it received a document entered it in a register.
 - A Yes, that is true.
- And would not that register show what became of the document?
 - A Yes.
 - O Are those registers available?
 - A Yes.
- Do they show what happened to these border incident documents?
- A As I said before, the old records of the originals no longer exist, and documents relating to the Changkufeng incident -- I do not know whether they -- Unless I search into the files I do not know whether they exist or not, in view of the fact that the originals of the records have been lost.

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as reference?

I am talking about the registers of the records, not the records themselves. According to the register, documents relat-3 ing to the Changkufeng incident do not exist. Will the register show that? 5 A Yes. Is the register in existence now? By that register, as I mentioned before, documents which I had made in March of this year. THE PRESIDENT: I believe we ought to con-10 tinue this in chambers. I think we ought to make sure what happened to these documents beyond question. Of course there may be a limit to what we can 14 do in chambers, but we will have to consult the 15 Charter. 16 MR. TAVENNER: I would like to ask just one 17 or two other questions. 13 BY MR. TAVENNER (Continued): 19 When you compiled this record in March of 1947, what did you do with the one that you based this new record on? 22

A I used this as reference.

O "hat did you do with it after you used it

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back?

They are now at the office -- the Foreign A Office. THE PRESIDENT: I think you ought to follow 3 it up, Mr. Tavenner. Who prepared this record that you referred to? 6 As I said before, I believe that this was compiled by my -- the person who preceded me in this 8 post. 9 Will you give me some further description 10 of it so that I could be able to identify it by that 11 description if you were to show it to me? 12 The document was prepared sometime before 13 that time, and these records were classified accord-14 ing to categories. I believe it was prepared during 15 the time my predecessor occupied the office, and this was based on that document on which -- Documents 17 which had been lost are checked. 13 19 THE PRESIDENT: You might get enough information on which to base a summons to produce these 21 documents, under the Charter.

Is the document in a bound volume?

It is a small book with green cover.

Does it have a descriptive title on its

I don't recall definitely, but I believe that it had -- it was a list or register of documents according to classification. MR. FURNESS: If the Court please, the 4 witness hasn't refused to produce this. He offered to produce one register which the Prosecutor asked him to produce, and he hasn't refused to 8 produce this one. THE PRESIDENT: Ordinarily an official of 10 this kind insists on a summons. The department, of 11 course, could waive that if they wish. MR. TAVENNER: I have a very simple solu-13 tion to suggest, your Honor. If there is no objec-14 tion, as indicated by counsel, when this witness 15 leaves the stand let him return to his office and 16 there deliver to an investigator of the prosecution 17 the document and return herewith. THE PRESIDENT: I understood you to say, 19 Witness, that the witness before you is your predecessor? 21 THE WITNESS: The witness who appeared -who took the stand before I did was the person preceding my immediate predecessor, that is, the one before the one before me.

THE PRESIDENT: "Tho is your immediate

predecessor?

THE WITNESS: SHIMODA, Takezo.

THE PRESIDENT: The cooperation of all three might be sought to get the document.

MR. FURNESS: If they are to be produced I prefer to have them produced before this Tribunal rather than to an investigator for the prosecution.

THE PRESIDENT: That means a summons based on information obtained here. Any command from the Tribunal will be in the form of a summons.

BY MR. TAVENNER:

- n What is the address of your immediate predecessor in office?
 - A I don't remember his address.
- "Thy did you consider it necessary to prepare a new record if the record of your predecessor was in existence?

A I had learned from one of the members of my section that he wished -- he had a desire to complete the records which were prepared by my predecessor, and I also had a desire to do so. I said that my predecessor had prepared these records, but I don't recall exactly whether it was my immediate predecessor or the one before him, or whether a member of the section had in the meanwhile made

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revisions or additions to the record after I became 1 chief of the section. These facts are not here in 2 my mind. 3 9 You have no personal knowledge, Mr. HAYASHI, 4 do you, of whether or not the telegrams referred to 5 as having been sent by the Japanese ambassador, SAITO, at Moscow were or were not received by the Foreign 7 Minister? 8 A No, I have no direct personal knowledge. 9 MR. TAVENNER: No further questions. 10 THE PRESIDENT: The witness perhaps could 11 be stood down to produce the records he has referred 12 to. If they are voluntarily produced there will be 13 no need for a summons. 14 15 16 17 18 19 20 21 22 23

MR. TAVENNER: If the Tribunal please, unquestionably the records will be in Japanese. It would result in a great saving of time if he will present them to the secretariat of the Court where we may examine them and then recall him for further cross-examination, if it is desired.

THE PREFIDENT: That could be done. He will have to get the consent of his department. We know that he hasn't the right to produce records without a summons or the authority of his department. So far the department has not been insisting on summonses. We have seen enough records here to realize that.

Well, what about it, Major Furness?

IM. FURNESS: I have no objection. I would like to coint out that inmostof the records which have been produced before this Court, the certificate has been signed by this witness. I think the witness must know what he is expected to produce. I suppose that would be revealed by the record.

THE PRESIDENT: He will be expected to produce every register or other document which shows what became of these border incident papers. That will be within the scope of his affidavit.

MR. FURNESS: Well, I of course reserve any redirect examination until the cross-examination

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is completed.

THE PRESIDENT: You understand what is required, witness?

THE WITNESS: Yes.

THE PRESIDENT: You are stood down and are at liberty on the usual terms until those documents are made available to the Secretariat, that is to say, to the General Secretary of the Tribunal.

(Whereupon, the witness was excused.)

MR. FURNESS: I now offer in evidence defense document No. 1574, with certificate signed by the Chief of the Document Section of the First Demobilization Bureau.

THE PRESIDENT: General Vasiliev.

GENERAL VASILIEV: If the Tribunal please,
I object to this document. Itis arinadmissible and
I venture to say contested method of proof. The
Japanese official has to certify the fact of the
destruction of the document in such a way that on
one hand it is clear that these documents do not exist,
and, on the other hand, it is known and apparently
there are no doubts, that these documents did exist,
and, moreover, the contents are given.

THE PRESIDENT: These certificates as to the contents of documents not produced, alleged to

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have been lost, must be on oath if that is insisted upon by the prosecution, and, if the prosecution insists, the deponent must be called for cross-examination.

GENERAL VASILIEV: That is our desire, your Honor.

MR. FURNESS: If the Court please, as I recollect the presentation of the prosecution's case for the Soviet Union, many documents were accepted in evidence on just such a certificate as this, that it did not exist.

THE PRESIDENT: I don't recollect any objection or argument about such a matter on that particular point.

MR. FURNESS: I am not sure it was an objection, but I am sure just such a certificate as this was received in evidence, signed by this very man.

THE PRESIDENT: Well, there is no signature on our copies and besides it wouldn't matter if there were.

MR. FURNESS: I believe that I could find the certificate in evidence in the record produced by the Soviet Union which might cover these very documents.

THE PRESIDENT: I stress again that this

certificate purports to certify to the contents of the lost document, the burned document. If there is such a certificate in the Russian phase offered by the prosecution, I don't recollect any objection to it on that ground.

your Honor, I could not rely sufficiently on my memory to say whether there was an objection or not.

GENERAL VARILIEV: I can positively state that there were no such certificates in the Russian phase of the prosecution, However, Mr. Furness could have searched for them.

MR. FURNESS: I will search during recess, your Honor. In the meantime this tender is rejected as I understand it.

We now offer in evidence defense document
No. 1540. This document is from the Diary of M.
Litvinov, Commisar for Foreign Affairs of the Soviet
Union, and records the talk he had with Mr. SHIGEMITSU,
Japanese Ambassador in Moscow, on July 20, 1938.
Excerpts from this record were introduced by the
prosecution into evidence as exhibit 754, and read
on pages 7760 to 7763, with comments by the prosecution
interspersed between each excerpt.

The entire record is now offered so that the

Tribunal may have a truer picture of this conference.

THE PREFIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Defense document

1540 will receive exhibit No. 2633.

(Whereupon, the document above referred to was marked defense exhibit No. 2633 and received in evidence.)

MR. FURNESS: It is offered to show that
the Japanese Ambassador acted under instructions from
his government; that is was admitted by the People's
Commisar that the map might not have been published,
and that from the beginning the Japanese position was
that both parties should return to the status quo
ante prior to the occupation of the hill by Soviet
troops and then a decision as to where the border
was located could be made peacefully; whereas the
soviet side claimed the right to continue to occupy
the hill.

since this document states the position of both parties, from the viewpoint of the People's Commisser, I believe that it should be read as an entire document rather than an excerpt divorced from that context. I will point out the parts read by the prosecutor. The first two speeches are not of

importance. The first six paragraphs were read by the prosecutor.

(Reading):

"SHIGEMITSU: On July 11, the Soviet troops unexpectedly occupied the Changkufeng district west of Lake Changchi and began to fortify it. In view of this on July 15 Charge d'Affaires NISHI made a protest to Mr. Stomonyakov and demanded that an immediate evacuation of the Soviet troops from that district be effected. Stomonyakov declared the protest groundless and declined it on the basis of the Khunchun Agreement and the maps attached to it.

"On having studied the question again the Imperial Government wired me and instructed to state the following:

"According to the data founded on the agreements between China and Russia, establishing the border
in this region, and likewise according to the data
of the Zarist regime which are at the disposal of
the Manchurian Government, this region belongs to
Manchukuo. Besides, in the Khunchun Agreement
mentioned by Mr. Stomonyakov, it is said, that from
letter 'T' the frontier runs north-west, follows the
line of the mountains on the western side of Lake
Khasen, and reaches the northern end of the sandy

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ridge, etc. "Therefore it is clear that the western coast 2 of Lake Changchi forms the border. 3 "The fact that the Manchurian population have 4 their religious rites on this mountain is another 5 proof of this area being Manchoukuo possession. 7 "It follows from the above that there is no 8 doubt whatsoever that the territory belongs to 9 Manchoukuo and the Japanese Army in virtue of its 10 obligations to Manchoukuo might take measures ensuing 11 from these obligations." 12 The following is not read. It is not part 13 of the excerpts printed in English in exhibit 754. 14 THE PRESIDENT: I think we will recess now 15 to enable you to get this in order. We will recess 16 for fifteen minutes. 17 (Whereupon, at 1445, a recess was 18 taken until 1500, after which the proceedings 19 were resumed as follows:) 20

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MARSHAL OF THE COURT: The International Military Tribunal for the Far East is now resumed.

THE PRESIDENT: Well, have you looked in the record to see whether we allowed a similar document to that just objected to by the Russians?

MR. FURNESS: Referring to record page 8075, on which page was recorded the receipt in evidence of exhibit 831. The certificate reads: "Aug. 7, 1946.

"The undersigned does hereby certify that it is impossible to submit you the following documents, as they are not in our possession now.

- "1.) All the files of 1st and 2nd Departments of Japanese General Staff bearing upon the planning of war against the USSR. (Otsu and Kan-Toku-En Plans).
- "2.) The correspondence of the General Staff and the War Ministry of Japan with the Headquarters of Kwantung and Korean Armies on the question of military preparation of Japan for a war against the Soviet Union."

THE PRESIDENT: That describes the documents without stating their contents. In any event, was there an objection, Major Furness?

MR. FURNESS: No, your Honor, there was not.

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I think we must have been discouraged from objecting because we were overruled so often.

I will continue reading the exhibit which has just been introduced in evidence:

"The Soviet has always proclaimed its efforts for peace and maintenance of the status quo in the border region. But the fact that the Soviet side has occupied the region referred to appears to be a violation of the status quo, the responsibility for which falls on the Soviet side.

"I cannot but desire that the Soviet side take steps to restore the status quo, because the actions of the Soviet troops place the responsibility on the Soviet Government. I do not wish to say that the border must be accurately demarcated now on the basis of the data of Manchoukuo alone. I think that such determination must be made in the future on the basis of the data which both sides have at their disposal.

"The demand of the Japanese Government today is only immediate evacuation of the Soviet troops from the Changkufeng area and restoration of the situation which existed until July 11, so that tranquility may be restored on the border in this region. The Japanese Government is giving this

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presentation of today the attention which it deserves."

This was not read by the prosecution.

"During his conversation with NISHI, Stomonyakov presented quite official documents: the Khunchun Agreement and the maps attached to it, on which the frontier is defined quite clearly. These maps are signed by the Chinese representatives. On this map the frontier runs west of Lake Khasan, which you call Chang-Chi. It seems to me that the presentation of these documents should have put an end to all sorts of talk on the subject, and I was glad to learn from the Ambassador that the Japanese-Manchurian party had studied all the documents and I expected to learn from him that the Japanese-Manchurian party had come to the same and only possible conclusion, that the lake and the adjacent hills belong to the Soviet Union. Unfortunately, the Japanese Government insists upon its previous incorrect viewpoint. The Ambassador has mentioned certain data, yet he did not say what data they were. He has mentioned certain religious rites which cannot be checked upon by anybody. We know and have always considered the hill in question to be on the Soviet side of the frontier. Our border guards

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always discharged their duties there. Therefore we must categorically decline every protest and demand, because we are dealing with the Soviet territory, and no claims on it of another power are acceptable."

The prosecution stopped reading at that point.

"The Ambassador is quite right in saying that we are interested in maintaining tranquility on the border and in the maintenance of the status que, and our troops on the hill in question, that is, our border."

The presecution rend-the next two sentences.

"The Ambassador has mentioned certain data, that both parties have. We presented our data to the Japanese Embassy represented by NISHI. I am ready to present them also to the Ambassador. If the Japanese party produce their date, we are willing to study them, but so far we have only heard unfounded statements and groundless demands."

This was not read, the next paragraph.

"I must again emphasize that we sustain the viewpoint which Comrade Stomonyakov took. The tranquility can be violated only by the other side -the Japanese-Manchoukuoan side, and in such case it . 1

will bear responsibility for this and its consequences. Our troops, wherever they may find themselves, do not menace other governments, nor foreign territories.

"My opinion is that the Japanese government will not be satisfied with your reply. Firstly, you are speaking about the map as serving as a legal basis of the argument, but the map, which Mr. Stomonyakov showed to MISHI, had never been published, and, to my mind, at this critical moment speaking of some map is unreasonable. This will not complicate matters."

MR. FURNESS: The following was not read by the prosecution:

"According to the text of the Khunchun Agreement, the border runs northeast and by the west coast of Lake Chang-Chi. This is perfectly clear from the Russian and Chinese texts. If we are to talk later of an accurate establishment of the border, and if we decide to begin such demarcation of the border, then this question will be decided on the basis of maps, which both sides have at their disposal, and in such case, we would be able to send for necessary maps. But the question now stands like this, that, by virtue of time-

honored usages, in that region and data of Manchoukuo, Manchoukuoans are convinced that the region in question is actually Manchoukuoan territory. Therefore I would place hope in your statesmanship to take measures to restore tranquility on the border, and, that, to co-operate in relaxing the tension which has been created on the border, and this is only possible on condition that the situation which existed in this region until July 11 be restored.

"So this I would like to add: that the restoration of the status quo does not bring any loss at all to the Soviet side.

"With regard to your desire, which you have again emphasized, concerning the interest in maintaining the status quo on the border, I wish to remark that it was violated by actions of the Soviet side. Soviet troops began operations in this region and the building of fortifications. Having an obligation to Manchoukue for co-defense, Japan can at any time take actions which she considers necessary to resort to in circumstances that may arise. To this I must remark that the Japanese side considers the actions of the Soviet troops as a provocative act. We do not stand on

right now on the basis of Manchoukuoan data alone.

In the present case, we appeal to your statesmanship in order to relax the tension, observed on the border, to restore tranquility and the situation which existed until July 11.

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"I should like to underscore especially this side of the question and to request you to pay attention to what I have said."

MR. FURNESS: The next sentence was read by the prosecution:

"I must say I am greatly surprised at hearing you, an old and experienced diplomat, speaking so slightly about official maps and called them 'some maps'. You must know that these maps are the only means of establishing frontiers."

MR. FURNESS: The following was not read by the prosecution:

"It surprises me that you want to blame
the map, declaring that it was not published. I
do not know whether it was published or not. Even
if it was not published, it did not, from this fact,
cease to exist. It is especially strange to hear
such a statement from the representative of Japan,
which has by no means published all her treaties

concluded by her with other countries, and has not a few secret agreements; but, because of this, these agreements do not lose their force in the mutual relations between the parties of such agreements.

"Copy of this map must be in the possession of the Manchoukuoan Government. If the Ambassador can not acquaint himself with it, it is undoubtedly possible for the Japanese representative in Manchoukuo to acquaint himself with it and to be convinced that the protests and demands of the Japanese side in this question are absolutely unfounded."

"Comrade Stomonyakov read to Mr. NISHI excerpts from the Khunchun Agreement which read that the border runs by the mountains on the western side of Lake 4 Khasan. Well, this agreement exists. What can you pre-5 sent against this agreement? Without presenting any 6 other document, the Jamenese side brings in an unfounded 7 demand of evacuation of Soviet troops from Soviet territory. It is like this: In the meantime you go out, 9 and we leave later. What would the Japanese Government 10 reply, if it were told that your troops find themselves in such and such a place on Manchoukuoan territory, but we consider their presence there incorrect; evacuate your troops from there, and then we shall examine to 13 whom this territory belongs? 14

Ambassador's words about provocative acts from the Soviet side. There is nothing provocative in Soviet trooms occupying this or other points in the territory belonging to us. On the contrary, groundless demands of a foreign Government on evacuation of these troops must be considered a provocation. "e have not violated any tranquility on the border, we have not attacked anybody, we have not occupied any foreign territory, and therefore, complete tranquility is maintained on the border, and it will be maintained while it is not

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violated by the Jananese-Manchoukuoan side.

government that it will try to estimate beforehand the consequences likely to result in case of possible attempts to violate the existing tranquility on the border.

"That is all I can say in this regard, and there is probably no sense in continuing the dispute.

"If the Ambassador presents some other map different from ours, we will study it.

"I wish to evail myself of this opportunity to call the attention of the Ambassador to an event of which our representative in Tokyo has already re-orted to the Commissariat for Poreign Affairs.

"A few days ago there was a disturbance near the Soviet Embassy on the part of a certain group. It distributed provocative leaflets in the vicinity of the Embassy. Although there is always a considerable police force at the Embassy building which stops everybody entering and leaving there, it did nothing this time in order to prevent this group from penetrating into the compound of the Embassy.

"I think that the Ambassador, like myself, is interested in keeping quiet and normal conditions of work in our Imbassy in Tokyo as well as in the Japanese

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Embassy in Moscow, and that he will call, in my name,
the attention of the Japanese Government to this sad
event, so that scrupulous examination and search for the
guilty will be made and also measures for prevention of
such actions in future will be taken.

"SHIGHMITSU: I cannot summarily give my reply to your statement.

"I do not say whether this map is false or authentic. I stated only that it had never been published. I desire to emphasize this very matter. I think that all maps can be inspected when demarcation of the border comes into question. I can add here that this map does not correspond to the Khunchun Agreement. At least in that part which was quoted by Stomonyakov to NISHI, as it is said in the quotation that the border runs by the west side of the lake, and not to the west of it. In a word, I can say only that the place where this incident happened is, according to the deep conviction of the Manchoukuoan Government, territory belonging to Manchoukuo. In this there is no doubt whatsoever."

The following sentence was read by the prosecution.

"Therefore Japan has the right and obligations to Manchoukuo to use force in order to make the Soviet

troops evacuate from the territory of Manchoukuo, unlawfully occupied by them."

The following sentences were not read.

"To such deduction we must come. It is clear that the incident occurred as the Soviet side invaded the Manchoukucan territory, and the proposal which I make is not to touch upon the juridical side of the question now, but to concern ourselves only with restoration of the status quo on the border. As tranquility on the border, however, has been violated by the Soviet side, it seems to me that nothing else remains but to take measures which we consider necessary for restoration of our rights.

"In connection with this, I present a protest against murder of a Japanese soldier. This happened at the time when a few Japanese gendarmes were fired upon and one of them, a young corporal, I think named MATSUSHIRA is now missing. It must be assumed that he was killed at the time they were fired upon by Soviet troops in the region unlawfully occupied by Soviet detachments on July 11. I express my special regret in this regard, that this unlawful murder took place at such an important moment, when negotiations were being conducted concerning the whole incident.

"I am suthorized to tender a resolute protest

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and to reserve to myself the right to present in future concrete demands connected with this event.

"LITVINOV:" This was read by the prosecution."

"The /mbassedor has not said anything new.

The only new thing was that he threatened us with force.

The Ambassador evidently considers the tactics of

threats a sound diplomatic means. Unfortunately,

there is quite a number of countries that are suscentible

to being frightened and threatened, but the Ambessador

must know that this means cannot be successfully used

in Moscow."

The following w s not read.

"Regarding the murder of the soldier, I must refuse the protest, as this took place on Soviet territory, where Japanese gendarmes had no right to be. I can only present a counter-protest to the crossing of our border by the gendarmes. With this I conclude.

"When going out, SHIGE HISU addressed Comrade Litvinov in English with a request to receive him for a general talk."

The fact that Japanese gendarmes had been fired upon was shown in an exhibit introduced by the prosecution.

This is my running comment on exhibit 753, prosecution document 2242. I reed from paragraph --

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from the last paragraph on page 1 of exhibit 753, prosecution document 2242:

"Beginning from July 1938, the Japanese began concentrating large forces in the area of the Lake Hassan. Up to July 29, 1938, these forces systematically violated the U.S.S.R. border in this area, but each time the Japanese were driven out from our territory. On July 15, 1938, on the southern slopes of the Zaozerneya Hill a group of Japanese gendarmes violated the border and penetrated deep into our territory. Our frontier guards opened fire and as a result one gendarme was killed on our territory and the corpse was taken by us."

THE PRESIDENT: That is marked as having been read by the prosecution.

MR. FURNISS: I now offer in evidence defense document No. 1567. This is a telegram from Charge I' Affairs, American Embassy, Moscow, to the Secretary of State, quoting the communique published in Moscow morning papers on July 21, 1938.

THE PRESIDENT: General Vasiliev.

GENERAL VASILITY: I think there is no need to introduce this document after the full text of the talk between Livinov and SHIGEMITSU of July 20 has been just received in evidence. The document contains

repetition of the previous document.

MR. FURNISS: I do not intend to read it. It is in some cases different.

THE PRESIDENT: But it is of no value because it purports to be a summary of what passed between the two representatives, and you have read all that.

IR. FURNESS: It is not a cony. As I say, I do not intend to read it.

THE PRESIDENT: But why have it in the record?

MR. FURNUSS: I will withdraw the tender, then.

I now offer in evidence defense document
No. 1562, a telegram from the American Charge d'affaires
to Secretary of State, dated July 26, 1938, in which
he reports a conversation he had on the night before
with Litvinov. This is offered to show that the Soviet
Government knew that Japanese military authorities
were opposed to precipitating hostilities.

THE PRESIDENT: General Vesiliev.

object to the introduction of this document. It is a report of a third party who did not witness the fact directly concerning the Khasan Lake event. It should not have any probative value in this Tribunal. By this document the defense opens the introduction of a whole series of documents emenating from third powers.

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THE RUSSIAN MONITOR: From the representatives of the third powers.

GENERAL VASILIEV: However, these reports inevitably contain elements of ominions and conclusions of the third party who is the author of the report. If the report of the representative of this third power is admitted then it will be a precedent to introducing to the Tribunal opinions and conclusions of the representatives, let us say, of France, Sweden, Great Britain, at catera. And this report gives nothing new to us.

The official attitude of the Soviet Government is given in the record of the conversations between former Commissar for Foreign Affairs of the U.S.S.R. Litvinov and SHIGIMITSU, which the Tribunal have at their disposal. Therefore, I ask you to reject the document.

MR. FURNESS: If your Honor please, certainly the fact that this is a statement by a representative of a third party is no bar to its admissibility. It is at least in the same class as innumerable other documents offered by the prosecution; statements by diplomats, conversations they had with other persons.

It is in no sense self-serving, and it seems to me that it clearly should be admitted. It reports a conversation with the Foreign Minister of the Soviet Union.

THE PRESIDENT: What value is it to you, Major Furness? I can't see that it has any value at all. It is based on a conversation of which we have every word.

MR. FURNESS: No, sir, I think it is based on a conversation with Kirk, the Charge d'Affaire.

THE FRESIDENT: But it does arise out of conversation.

MR. FURNESS: No. The telegram is dated 19 July 26, and it says "In the course of a conversation last night."

THE PRESIDENT: Well, suppose Litvinov tells the world what he said to SHIGEMITSU. Is there any difference?

MR. FURNESS: In this case he said that he was informed that the Japanese military authorities were

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opposed to participating in a conflict with the Soviets. It is very obvious that it is an entirely different conversation. THE PRESIDENT: It is a conversation about another conversation. MR. FURNESS: No, sir. I think it is clearly evident that it is what Litvinov told Kirk in the course of a conversation with him. THE PRESIDENT: How would it help you? I can't see what assistance you get from it. MR. FURNESS: It helped the general defense in 11 the sense that Litvinov said that he had been informed that the Japanese military authorities were opposed to 14 participating in a conflict with the Soviets. They are accused of having used this incident 16 as aggressive war, and as having planned aggressive war 17 throughout this period. THE PRESIDENT: Well, it is still reporting a 19 conversation with the Japanese. It doesn't seem to me to go any further than that. MR. FURNESS: I submit, sir, that it is Litvinov talking to Kirk, not talking to any Japanese. THE PRESIDENT: I am not contesting its relevancy but its materiality. I don't see that it helps. Perhaps, if we are in doubt, we ought to admit it. That

is the rule.

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Admitted on the usual terms.

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will receive exhibit No. 2634.

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CLERK OF THE COURT: Defense document 1562

(Whereupon, the document above referred to was marked defense exhibit No. 2634 and received in evidence.)

MR. FURNESS: I read exhibit 2634, a telegram from Moscow, July 26, 1938.

"Secretary of State.

"In the course of a conversation last night Litvinov discussed briefly the present Soviet-Japanese controversy. He said that the Soviet soldiers had every right to occupy the position to the west of Lake Khasan, that maps of long standing in the possession of Soviet Government proved definitely the Soviet contention and that, therefore, there was no reason why the soldiers should be withdrawn as the Japanese had requested. However, he said that he did not believe that this 20 incident would result in hostilities, that the Soviet 21 Union had no intention of 'attacking' the Japanese and that he was opposed to precipitating a conflict with 23 the Soviets. Litvinov added that he had told the Japanese Ambassador that he would examine any proofs

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of the Japanese contention that the Ambassador might care to submit and that he supposed that the Japanese Government was now working on further representations to the Soviet Government which would encourage some adjustment of the controversy."

I offer in evidence defense document No. 1522. This is also from the diary of Commissar Litvinov and records conversations with ShIGEMITSU on 4 August 1938.

It is offered to prove that the Japanese Government proposed an immediate cessation of hostilities on both sides and the settling of the matter through diplomatic negotiations, but that the Soviet Government again refused to consider any discussion of the boundary and insisted that its opinion was the only opinion which might be considered.

This is also offered to prove the Japanese contention that the Russian claim of a border passing over the summit of a hill did not give that country the right to claim the entire hill.

No record of this conversation was introduced in evidence by the prosecution.

THE PRESIDENT: Admitted on the usual terms. CLERK OF THE COURT: Defense document 1522 will receive exhibit No. 2635.

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(Whereupon, the document above referred to was marked defense exhibit No. 2635 and received in evidence.)

MA. FURNESS: Now I read the document.

"SHIGEMITSU declared that in accordance with instructions received he had to make a report regarding a border incident.

"The Imperial Government, stated SHIGEMITSU, was making every possible effort to regularize the situation at the border and to settle the incident on the spot. In accordance with instructions from Tokyo, he wishes to make a proposal which amounts to immediate cessation of hostilities on both sides and settling of the matter in diplomatic negotiations. If the Soviets interposed no objections, the Japanese Government was prepared to embark upon concrete negotiations. He, SHIGAMITSU, would like to know the views of the Soviet Government.

"I answered SHIGEWITSU as follows:

"The Ambassador declared that the Imperial Government intended to settle the incident peacefully, but, unfortunately, the acts of the Japanese military forces on the spot did not conform with this intention. It is simply not possible to consider as a peaceful settlement of the problem the crossing of the Soviet

border with arms and with the use of artillery or the 1 night attack on a border post. To call such methods 2 peaceful could only be in irony. The incident itself 3 occurred as a result of these actions and without them there would have been no incident at all. We were not the ones who started the military actions. We merely replied to such actions on the part of the Japanese. If they will desist from their actions, definitely evacuate Soviet territory and cease firing upon it, our military will have no grounds whatsoever for continuing military operations. Then we of course should not object to a consideration of the proposals made to us by the Japanese Government. But first of all the inviolability of the Soviet boundary as fixed by the Hunchun Agreement and the map attached thereto must be guaranteed.

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15 "SHIGEMITSU said that he had not intended today 17 to discuss responsibility for the events or to deal 18 with the matter of the boundary in this connection. 10 Unfortunately, SHIGEMITSU said, last time we were not 20 agreed on these matters and for that reason I did not 21 want to broach these subjects again today. But, now 22 that you have brought up this question, too, I must 23 answer briefly. The incident arose by virtue of the 24 unexpected seizure by the Soviets of Changku Feng, 25 which was considered Manchurian territory. In consequence of this, the Soviet side fired on Japanese soldiers and killed one person. The continuation of this occupation was the cause of the present conflict. The Japanese have repeatedly called the attention of the Soviet Government to the fact that the Soviet side must bear the responsibility for this. Furthermore, the Soviets began firing on the boundary and the territory of Manchoukuo and Japan with the aid of aviation and artillery. From this it is clear who is responsible for the situation that has developed on the border. In this connection the Japanese Government entered protests.

"The Soviets consider that the boundary line is defined by the Hunchun agreement and the map attached thereto. The Japanese, however, relying upon materials in their possession, hold that Changku Feng is Manchurian territory. This may be proved by historical data. In short, each side holds to its own view in this matter. Hence there is no reason for the Soviets, relying solely on their own data, to demand the withdrawal of troops from a point which they consider their own. From their point of view, the Japanese were insisting on the cessation of military operations against the boundary and territory of Manchoukuo.

"I told SHIGELITSU that I agreed with him that

it did us no good to repeat the quarrel we had last time if the Japanese had not changed their views. We hold that boundaries between states are determined exclusively by international treaties and maps and not at all by the subjective views of governments, military circles or unofficial data. Herein lay our advantage over the Japanese. We showed the Japanese the relevant international treaties and maps and the Japanese showed us 9 nothing except their wish to acquire a new boundary. 10 was willing to conduct a conversation on a purely 11 practical business basis. We should welcome the cessa-12 tion of military operations, for that accorded with our peaceful intentions. But we cannot permit foreign military units to occupy even one foot of territory which we consider indisputably ours. If, therefore, the Japanese Government will withdraw the remainder of its units still beyond the line marked on the map and if the Japanese Government will pledge itself to desist from firing on our territory, then I am certain that my Government, too, will agree to a similar pledge to cease military operations, for such operations would be utterly without purpose. We have no need of Manchurian territory. Even the Japanese should have convinced themselves after the seizure by might of Zaozernaya Heights that no fortifications whatever had been

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constructed there by us and no preparations whatsoever had been made for attacking Manchuria. This was my answer to the Ambassador's proposal.

"SHIGEMITSU said that he understood my answer to mean that the Soviets were agreeable to a practical settlement of the unfortunate incident. That conformed to the intentions of the Japanese Government. As for my remarks about international treaties and maps, he, SHIGEMITSU, had never disputed the validity of international treaties. Speaking of the boundary between Manchoukuo and the Soviet Union, however, it was necessary to take into account those facts which Manchoukuo had /come into possession of/ since its separation from China. It is also necessary to consider the interpretations of the Hunchun Agreement. All in all, he. SHIGEMITSU, doubts whether it is wise to settle the incident exclusively on the basis of a line on a map when the situation was quite tense without that. The Japanese had /only/ seen your map for the first time. This was the first time many others, too, had seen this map. The Japanese do not assert that the map is inaccurate, but they consider it unreasonable to resolve the incident on the basis of this map which it has seen for the first time. My proposal, said SHIGEMITSU, consists in not linking the settlement of the incident with the map.

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"The matter of the map and the treaty the Japanese are prepared to consider separately from the regulation of the incident. Right now the discussion dealt with the practical settlement of the incident without linking it with the legal side of the matter. The proposal of the Japanese Government amounted to inaugurating concrete pourparlers on the spot after ceasing hostilities. In this case without going into the juridical matter of the boundary it would be possible to find means of settling the incident with the provision that the juridical questions be considered later. In passing, he, SHIGEMITSU, wished to say a few words regarding my remark that the USSR harbored no intention of attacking Manchoukuo. He welcomed such a declaration, but had to observe that my declaration did not accord with the facts. Even from the Soviet point of view the boundary line passed through the elevation /vysota/ of Changkufeng. The occupation of this height is not to be reconciled with a lack of Soviet intention to attack Manchoukuo. Furthermore, after the outbreak of the incident, Japanese gendarmes, who are not in the strict sense of the word a military force, were fired upon on the slope of the hill belonging to Manchoukuo and one gendarme was killed. This he, SHIGEMITSU, stated in passing. He

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did not wish to worsen the atmosphere and proffered a reasonable and practical proposal concerning the settlement of the incident. On hearing from me an agreement in principle for the regulation of the incident he would like the Soviets to take a step toward the practical settlement of the incident.

"I told SHIGEMITSU that our boundary with the Chinese Empire was determined by the agreements that we entered into with representatives of that empire. We consider that boundary also the boundary between us and Manchoukuo and Korea. The occupation of Manchuria by Japanese troops does not give Japan the right to demand an alteration of the boundary. We have in any case never agreed to such an alteration or revision of the boundary, and never would. It is not our fault if Japan on occupying Manchuria failed to see these or other agreements and maps signed by us and China which the legal masters of Manchuria should have. We showed the Hunchun Agreement and map to Mr. NISHI and later to the ambassador himself. The ambassador might have requested the delivery of a copy of the agreement and map for study by his government. Instead of that, the Japanese military preferred the road of direct action and violated this boundary. The status quo must be restored. My agreement to a

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cessation of military operations should be understood by the ambassador in the sense that the Japanese Government obligates itself to withdraw immediately its troops back of the line shown on the map, not to make further attacks on Soviet territory, and not to fire upon this territory. At the moment I am notified by the ambassador of the acceptance by his government of these terms, the agreement on the cessation of military operations will go into effect. Then we are prepared to give the ambassador a photocopy of the agreement and map for study and for transmittal of the necessary instructions to the local authorities regarding the respect of this boundary.

"SHIGEMITSU stated that the Japanese point of view regarding the agreement and map had already been exhaustively explained by him and that the Japanese side could not change its view, in view of which he would not reiterate. But he did want to say a few words regarding the creation of boundary commissions for the delimitation of the Soviet-Manchurian boundary. At one time both parties had agreed on setting up similar commissions. The goal in mind was the delimitation of the boundary on the basis of the data of both parties. At the time the delimitation of the boundary was not conceived of /as based/ only on data

of the Soviets. If the Soviets thought of delimiting the boundary with the aid of only their own data and maps there would be no necessity at all to discuss the creation of boundary commissions. Once the Soviets agreed to the creation of boundary commissions, it is not likely that they thought of carrying out the delimitation of the boundary on the basis of their own data alone. Further he would like to say in connection with my remark regarding the restoration of the status quo: It is impossible not to approve this idea. But at the same time one must point out that the incident began as a result of the occupation of Changkufeng by Soviet forces on 11 July. For this reason the proposal regarding the withdrawal of troops first by the Japanese is one-sided and without foundation. He, SHIGEMITSU, would report to Tokyo on our conversation today and thought this would complete his report and his remarks on various matters, not wishing to take any more of my time.

"I told SHIGEMITSU that to avoid misunderstandings I requested him to transmit our conversation to
Tokyo accurately. I also stressed that by restoration
of the status quo I had in mind the status existing
prior to 29 July, i.e., the date when Japanese troops
crossed the boundary and began to occupy the heights

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/named/ Bezymiannaya and Zeozernaya. As for boundary commissions we always started with the assumption that existing treaties and maps constituted the basis for the work of the commission. It was not a matter of determining the boundary, but of demarcation and redemarcation. Even now we do not reject those proposals which we made earlier regarding the demarcation of the boundary where it is necessary. But existing Russian trenties and maps, among them the Hunchun Agreement, must be recognized as the basis of the labors of the commission. My proposal amounts to the Japanese Government recalling its troops if they are still on Zaozernaya Heights and in other places and to the Japanese Government giving an order to cease further attacks and firing on our territory. Then military operations will stop on both sides. If the Japanese Government declares that it recognizes the Hunchun Agreement, we will not object to demarcation or redemarcation even of the region in question by a mixed commission on the terms which we previously proposed. Under no circumstances, however, will we agree to replace the Hunchun Agreement with another. We consider the boundary fixed. One can only demarcate it or redemarcate it.

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"SHIGEMITSU said that the view of the Japanese

on the matters touched upon he had already explained. He would therefore not repeat himself and would report to Tokyo his conversation with me. SHIGEMITSU further expressed a wish for the speedy regulation of the

"I said that would be fine, but so long as the Japanese did not withdraw their troops beyond the line marked on the map we should reserve our freedom of action."

I offer in evidence defense document No. 1550. This is a telegram from Kirk, the American charge d'affaires, to the Secretary of State in Washington, dated August 5, 1938, in which he reports an interview he had and the viewpoint of Soviet military men.

It is offered to prove that the foreign commissar knew that Japan did not desire war and that military men in the Soviet confirmed that opinion.

THE PRESIDENT; General Vasiliev.

GENERAL VASILIEV: Your Honor, we object to this document. In this case we are confronted with an opinion of a third party concerning the Lake Khasan events. This is an opinion based on conversations with various persons, one of whom is mentioned by his name, but the names of the others are not given, and they are referred to under a common, impersonal title,

incident.

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"military circles." Such a document cannot be considered as having probative value.

The conversation with the person indicated in the telegram is retold in an extremely brief form, and leaving alone the question of whether this conversation took place for the time being -- I had no grounds for doubts in this respect -- the brevity of the statement can lead to distorted interpretations. When the contents of such conversation on serious political matters is rendered in a few sentences, then one can unmistakably say that it is not a reproduction of the contents of the conversation, but a report concerning the kind of conclusions arrived at as the result of this conversation by the person making the report, and conclusions of different persons are immaterial for this Tribunal. It would be risky to accept such conclusions. Therefore, I ask to reject this document.

THE PRESIDENT: Major Furness.

MR. FURNESS: If the Tribunal please, this is obviously a report by the American charge d'affaires of an interview that he, himself, had with Litvinov, a report by the Foreign Minister, the highest officer in charge of foreign affairs of a government which is now accusing the accused here of having planned

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aggressive war. It is a statement by that official that he knew that Japan did not desire war. Certainly the fact that it is brief is no grounds for its 4 exclusion. It reports directly a statement by the 5 Foreign Minister of the Soviet Union on the matter 6 here in issue. Innumerable telegrams against these accused 8 of this type have been received. Certainly this tele-9 gram from one of the prosecuting -- from a representa-10 tive of one of the prosecuting nations, should be received. I submit that it has great probative value, 12 and that to exclude it would be an error on the part 13 of the Court, and I submit that it is admissible under 14 the terms of the Charter and under any rules of 15 evidence. It states directly what Litvinov said to 16 Kirk and what Kirk learned on investigation with 17 Soviet military circles, the only way that he could 18 report it, and he is reporting it. 19 THE PRESIDENT: Are you pressing the second 20 paragraph? 21 MR. FURNESS: Yes, I am, sir. 22 GENERAL VASILIEV: I continue to ask the 23 Tribunal not to admit this document, and I consider the argumentation on the part of the defense counsel

to be beyond any courtesies.

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10 tive of one of the prosecuting nations, should be received. I submit that it has great probative value, and that to exclude it would be an error on the part of the Court, and I submit that it is admissible under the terms of the Charter and under any rules of evidence. It states directly what Litvinov said to Kirk and what Kirk learned on investigation with Soviet military circles, the only way that he could report it, and he is reporting it.

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THE PRESIDENT: Are you pressing the second paragraph?

MR. FURNESS: Yes, I am, sir.

GENERAL VASILIEV: I continue to ask the Tribunal not to admit this document, and I consider the argumentation on the part of the defense counsel to be beyond any courtesies.

The official attitude of the Soviet Government was officially expressed in documents submitted to the Tribunal, and there is no need for the interpretation of the third parties as to this attitude of the Soviet Government.

MR. FURNESS: This man who made this statement, if your Honor please, is the man who talked at those official conferences for the Soviet Union. Certainly what he thought is important, and what he told third party nations has the greatest probative value.

THE PRESIDENT: By a majority, the Tribunal admits the first paragraph. The objection is upheld in part and overruled in part. The document is admitted on the usual terms.

MR. FURNESS: I shall read the first paragraph.

CLERK OF THE COURT: Defense document 1550

will receive exhibit No. 2636.

(Whereupon, the document above referred to was marked defense exhibit No. 2636, the first paragraph of the document being received in evidence.)

MR. FURNESS: I shall read the first paragraph.

Telegram from Kirk, the Secretary of State,
to the Secretary of State, August 5, 1938.

"Referring to my telegram August 5, 10 a.m.,

during my interview with Litvinov today he informed me that the Soviet Government 'knew' that Japan did not desire a war with the Soviet Union and that the Soviet Government had no intention of receding from its position which it felt was right. He added that such controversies would continue as long as the Fascist nations existed unless combined action could be taken against them and that the only manner of dealing with those nations was to meet force or threat of force with the same weapons."

THE PRESIDENT: We will adjourn now until half-past nine tomorrow morning.

(Whereupon, at 1600, an adjournment was taken until Friday, 23 May 1947, at 0930.)